

## Part 7: Expected Fitness Change After Mutation

Given a initial genome sequence in which the following conditions are met:

- a) The genome sequence can be split into  $w$  elements, each having  $k$  possible permutations.
- b) The mutation rate  $r$  per element is consistent across the genome.
- c) A single mutation can transform any given element into any of the other possible element permutations.

If  $F(h_x)$  is the fitness contribution of an individual element, and if  $F_e(h)_{rnd}$  is the expected fitness for a random element, (the average combined fitness of all of the permutations possible for an element,) we have:

$$F_e(g)_{rnd} = w \cdot F_e(h)_{rnd}$$

That is, the expected fitness for a random genome is equal to the expected fitness for a random element times the number of elements in the genome.

Now, for a given element,  $F(h_x)$ , the expected fitness for a random element can be expressed as follows:

$$F_e(h)_{rnd} = \frac{1}{k} F(h_x) + \sum_{i=1, i \neq x}^k \frac{1}{k} F(h_i)$$

$$F_e(h)_{rnd} = \frac{1}{k} (F(h_x) + \sum_{i=1, i \neq x}^k F(h_i))$$

Therefore,

$$\sum_{i=1, i \neq x}^k F(h_i) = k \cdot F_e(h)_{rnd} - F(h_x)$$

Now on mutation, for a true mutation to occur, the element must change from what it was before into one of the other possible element permutations. Thus, the expected fitness after mutation ( $F_e(t_x)_{mut}$ ) must be:

$$F_e(t_x)_{mut} = \sum_{i=1, i \neq x}^k \frac{F(h_i)}{(k-1)}$$

$$F_e(t_x)_{mut} = \frac{1}{(k-1)} \sum_{i=1, i \neq x}^k F(h_i)$$

And, from what we discovered above, this means:

$$F_e(t_x)_{mut} = \frac{k \cdot F_e(h)_{rnd} - F(h_x)}{(k-1)}$$

The fitness change per element can then be calculated fairly easily:

$$F_e(t_x)_{mut} = F(h_x) + \Delta F_e(t_x)_{mut}$$

$$\Delta F_e(t_x)_{mut} = F_e(t_x)_{mut} - F(h_x)$$

$$\Delta F_e(t_x)_{mut} = \frac{k \cdot F_e(h)_{rnd} - F(h_x)}{(k-1)} - F(h_x)$$

$$\Delta F_e(t_x)_{mut} = \frac{k \cdot F_e(h)_{rnd} - F(h_x) - (k-1) \cdot F(h_x)}{(k-1)}$$

$$\Delta F_e(t_x)_{mut} = \frac{k \cdot F_e(h)_{rnd} - k \cdot F(h_x)}{(k-1)}$$

$$\Delta F_e(t_x)_{mut} = \frac{k}{(k-1)} (F_e(h)_{rnd} - F(h_x))$$

Given that  $r$  is the mutation rate per element, the expected fitness change per element for the next generation will then be:

$$\Delta F_e(t_x) = r \cdot \Delta F_e(t_x)_{mut}$$

$$\Delta F_e(t_x) = \frac{r k}{(k-1)} (F_e(h)_{rnd} - F(h_x))$$

Summing over the entire genome, we have:

$$\Delta F_e(m_x) = \sum_{i=1}^w \left( \frac{r k}{(k-1)} (F_e(h)_{rnd} - F(h_x)) \right)$$

$$\Delta F_e(m_x) = \frac{r k}{(k-1)} \sum_{i=1}^w (F_e(h)_{rnd} - F(h_x))$$

$$\Delta F_e(m_x) = \frac{r k}{(k-1)} \left( \sum_{i=1}^w F_e(h)_{rnd} - \sum_{i=1}^w F(h_x) \right)$$

$$\Delta F_e(m_x) = \frac{r k}{(k-1)} (w \cdot F_e(h)_{rnd} - F(g_x))$$

$$\Delta F_e(m_x) = \frac{r k}{(k-1)} (F_e(g)_{rnd} - F(g_x))$$

To get the average expected change over the entire population, we simply take the sum of the changes in the population, and divide by the population size.

Since our calculations assume a fixed number of children per parent, we can calculate the expected average according to the parents' size as follows:

$$\Delta F_e(m_{avg}) = \frac{1}{n_g} \sum_{i=1}^{n_g} \frac{r k}{(k-1)} (F_e(g)_{rnd} - F(g_x))$$

$$\Delta F_e(m_{avg}) = \frac{r k}{n_g (k-1)} \sum_{i=1}^{n_g} (F_e(g)_{rnd} - F(g_x))$$

$$\Delta F_e(m_{avg}) = \frac{n_g \cdot r \cdot k}{n_g (k-1)} F_e(g)_{rnd} - \frac{r k}{n_g (k-1)} \sum_{i=1}^{n_g} F(g_x)$$

$$\Delta F_e(m_{avg}) = \frac{r k}{(k-1)} F_e(g)_{rnd} - \frac{n_g \cdot r \cdot k}{n_g (k-1)} F(g_{avg})$$

$$\Delta F_e(m_{avg}) = \frac{r k}{(k-1)} (F_e(g)_{rnd} - F(g_{avg}))$$

The function that results is linear, with a maximum value of:

$$\frac{r k}{(k-1)} F_e(g)_{rnd} \quad \text{for} \quad F(g_{avg}) = 0$$

And a minimum value of:

$$\frac{r k}{(k-1)} (F_e(g)_{rnd} - F(g)_{max}) \quad \text{for} \quad F(g_{avg}) = F(g)_{max}$$

A value of zero is attained when  $F(g_{avg}) = F_e(g)_{rnd}$ .

As long as  $F(g_{avg})$  is less than the expected random value, the fitness can be expected to increase. After a fitness value above the expected random value is obtained, natural selection must be utilized in order to overcome the negative trend.